



Natural Language Processing

Info 159/259

Lecture 1: Introduction (Jan 21, 2020)

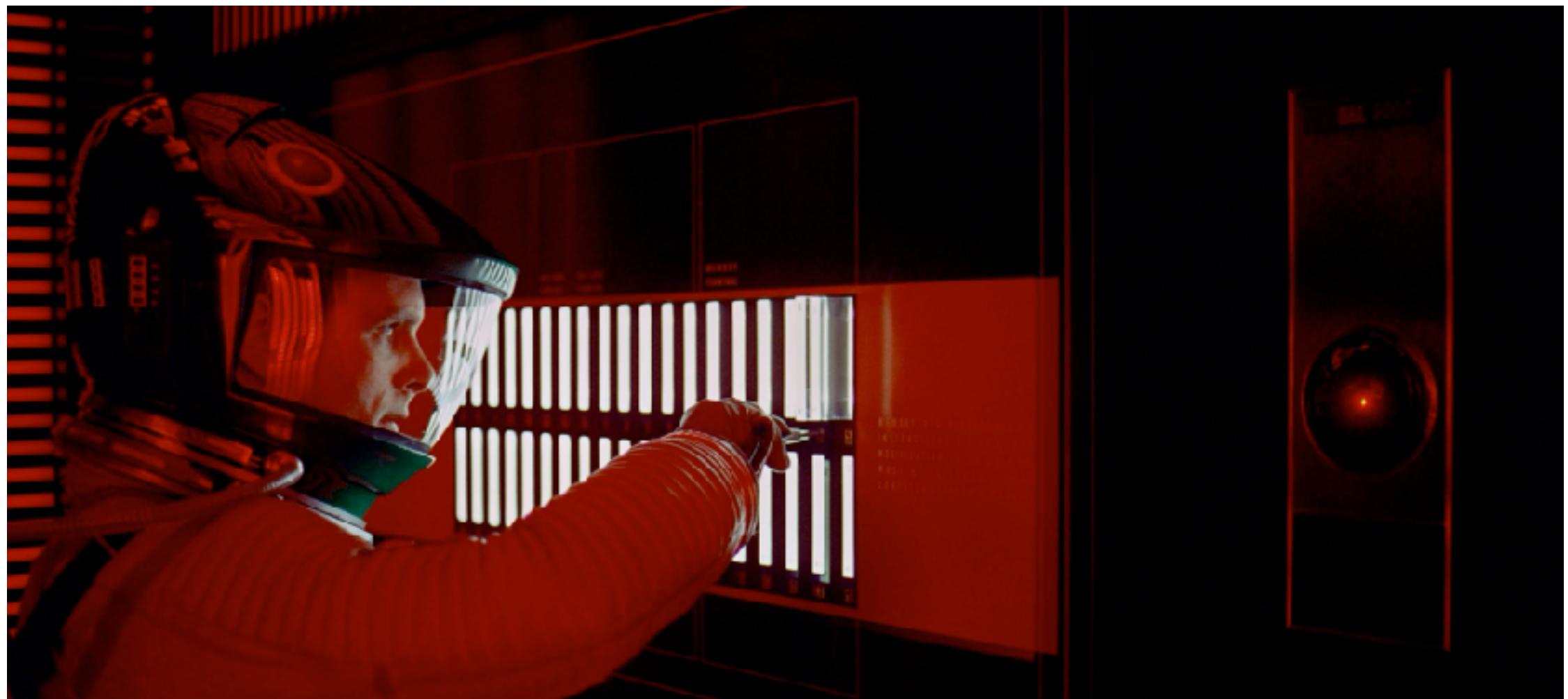
David Bamman, UC Berkeley

NLP is interdisciplinary

- Artificial intelligence
- Machine learning (ca. 2000—today); statistical models, neural networks
- Linguistics (representation of language)
- Social sciences/humanities (models of language at use in culture/society)

NLP = processing* language
with computers

processing as “understanding”



JOAQUIN PHOENIX AMY ADAMS ROONEY MARA
OLIVIA WILDE AND SCARLETT JOHANSSON

her

A SPIKE JONZE LOVE STORY

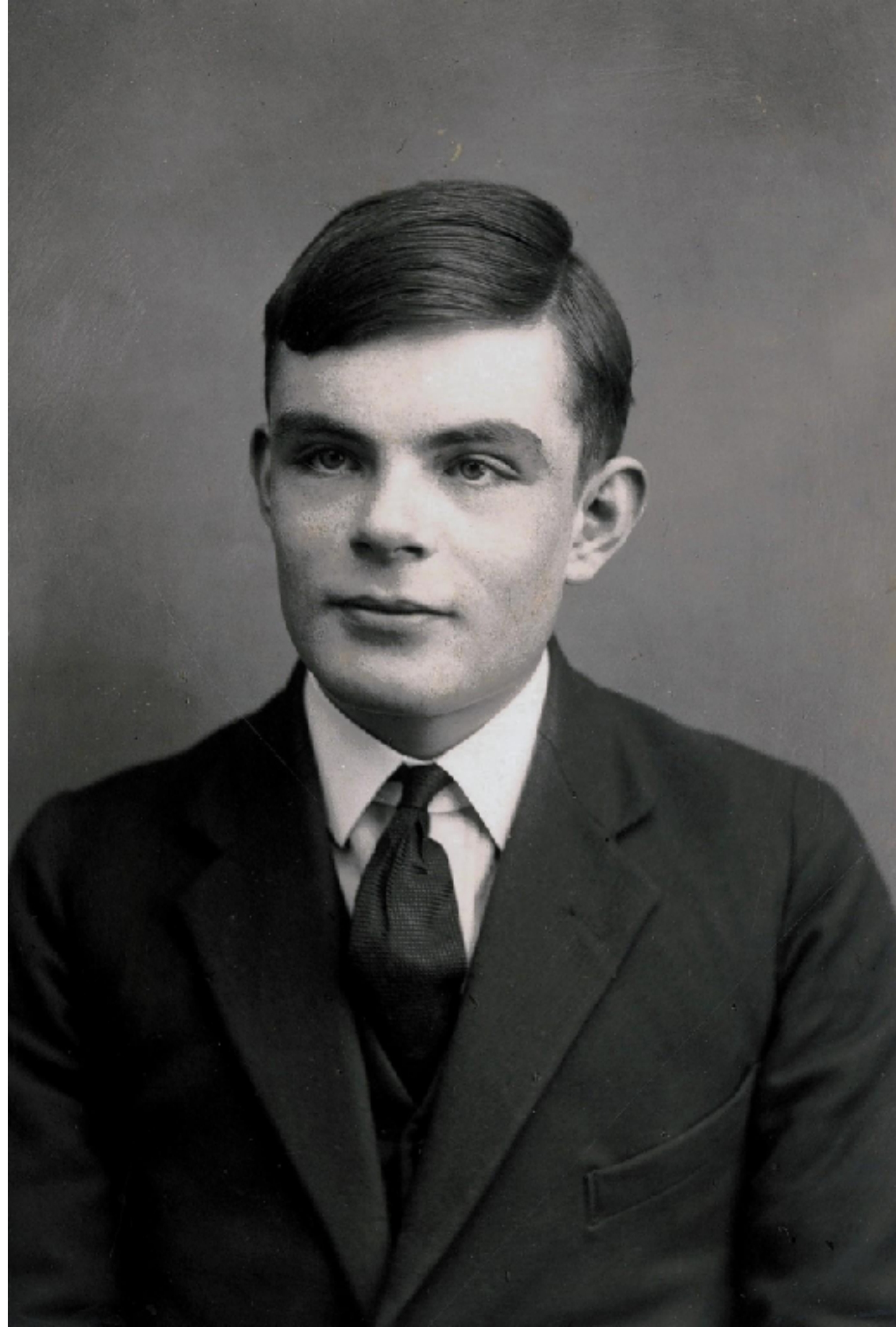
WARNER BROS. PICTURES PRESENTS
AN ANIMA PICTURES PRODUCTION "HER" JOAQUIN PHOENIX AMY ADAMS ROONEY MARA OLIVIA WILDE AND SCARLETT JOHANSSON
CASTING BY ELLEN LEWIS CASANOVA RANDOLPH MUSIC BY ARCADE FIRE COSTUME DESIGNER CASEY SODERBY EDITOR ERIC ZIMMERMAN EXECUTIVE PRODUCERS JEFF BUCHANAN PRODUCED BY KX GARRETT
DIRECTOR OF PHOTOGRAPHY HONTE VAN HOYTEN, F.S.L. M.S.C. EXECUTIVE PRODUCERS DANIEL LUPU NATALIE FARRER PRODUCED BY MEGAN ELLISON SPIKE JONZE VINCENT LANDONI WRITTEN AND DIRECTED BY SPIKE JONZE
COMING SOON herthatmovie.com

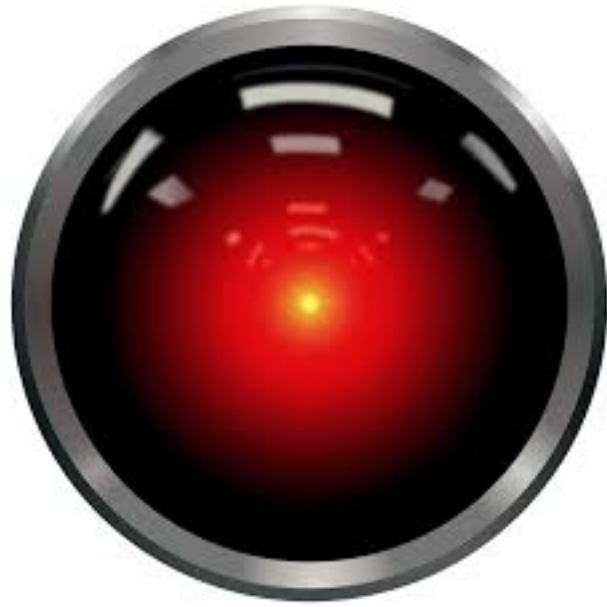


Turing test

Distinguishing human vs.
computer only through
written language

Turing 1950





Dave Bowman: Open the pod bay doors, HAL
HAL: I'm sorry Dave. I'm afraid I can't do that

Agent	Movie	Complex human emotion mediated through language
Hal	2001	Mission execution
Samantha	Her	Love
David	Prometheus	Creativity

Where we are now

Verizon LTE 4:28 PM 84%

"Open the pod bay doors HAL"
tap to edit

Wait, I think I know that one...

2001: A Space Odyssey

MGM (1968)

Director
Stanley Kubrick

Starring
Keir Dullea
Gary Lockwood
William Sylvester
Daniel Richter
Leonard Rossiter

Runtime:
2h 19m

[G]



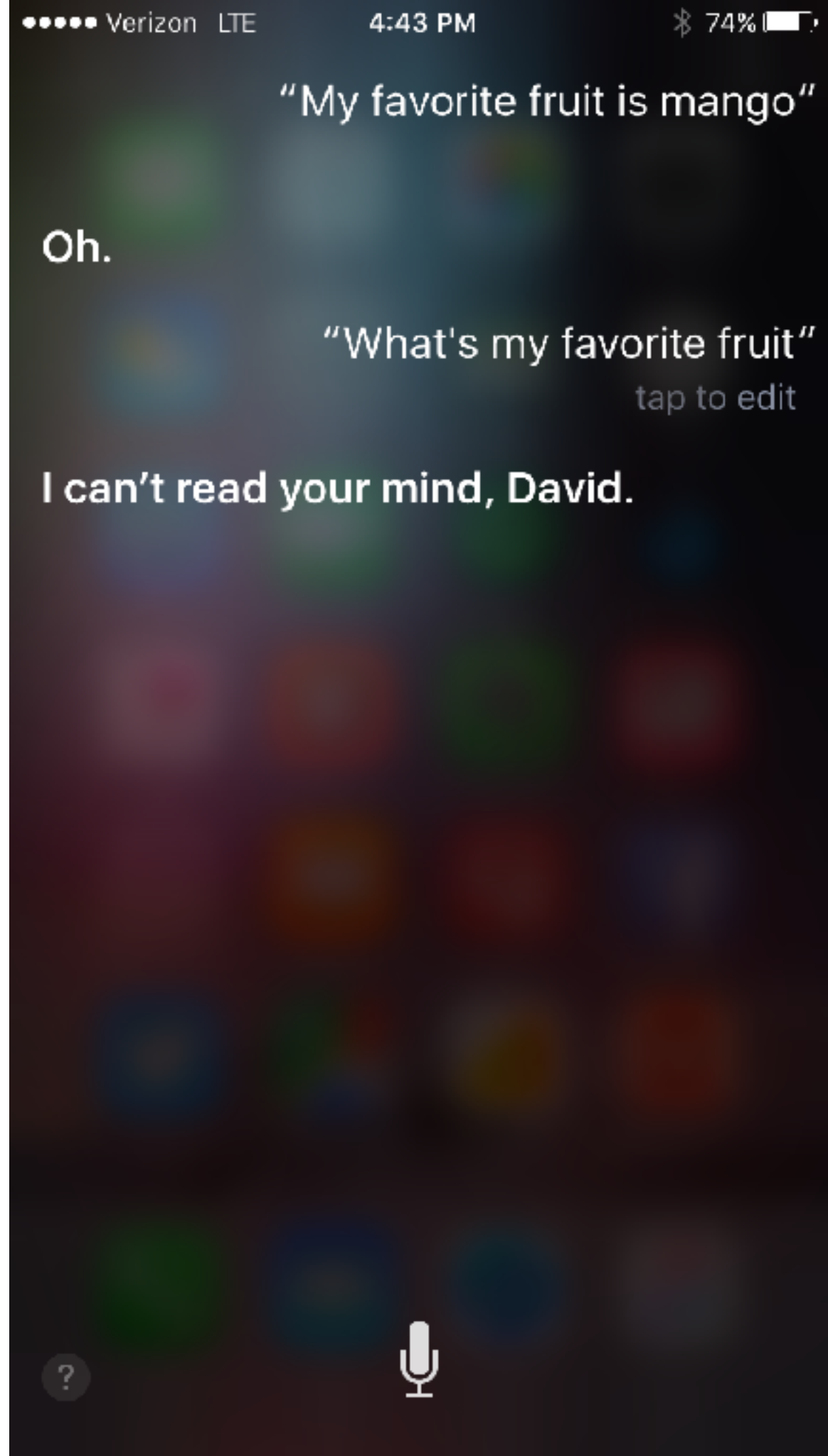
The movie poster for '2001: A Space Odyssey' features a blue-tinted profile of a human face looking towards a bright light source, with a starry space background. The title '2001' is prominently displayed in white, with 'A SPACE ODYSSEY' in smaller text below it.

? microphone

Where we are now



Where we are now



Baseline mutual information model (Li et al. 2015)

A: Where are you going? (1)

B: I'm going to the restroom. (2)

A: See you later. (3)

B: See you later. (4)

A: See you later. (5)

B: See you later. (6)

...

...

A: how old are you? (1)

B: I'm 16. (2)

A: 16? (3)

B: I don't know what you are talking about. (4)

A: You don't know what you are saying. (5)

B: I don't know what you are talking about . (6)

A: You don't know what you are saying. (7)

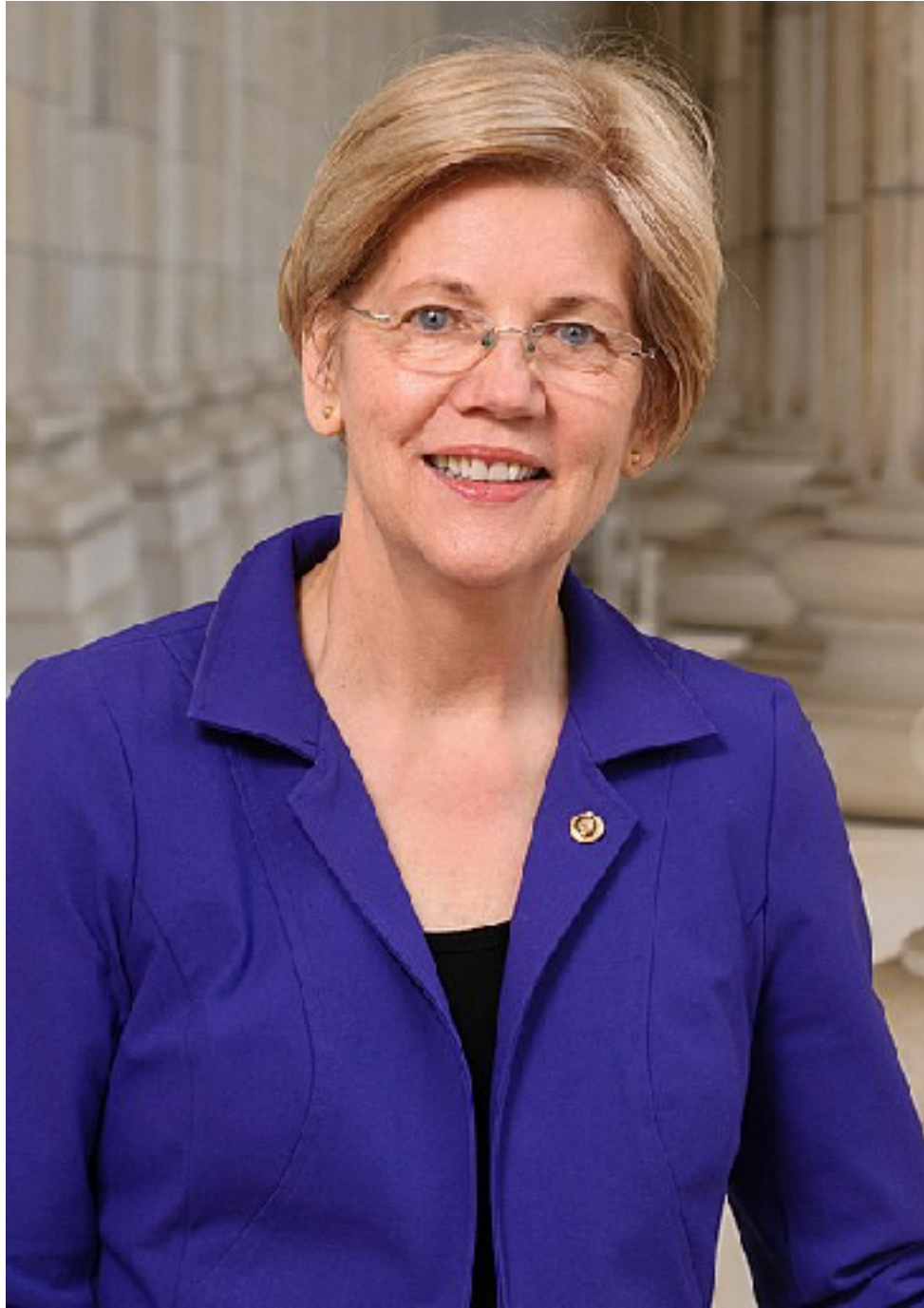
...

What makes language hard?

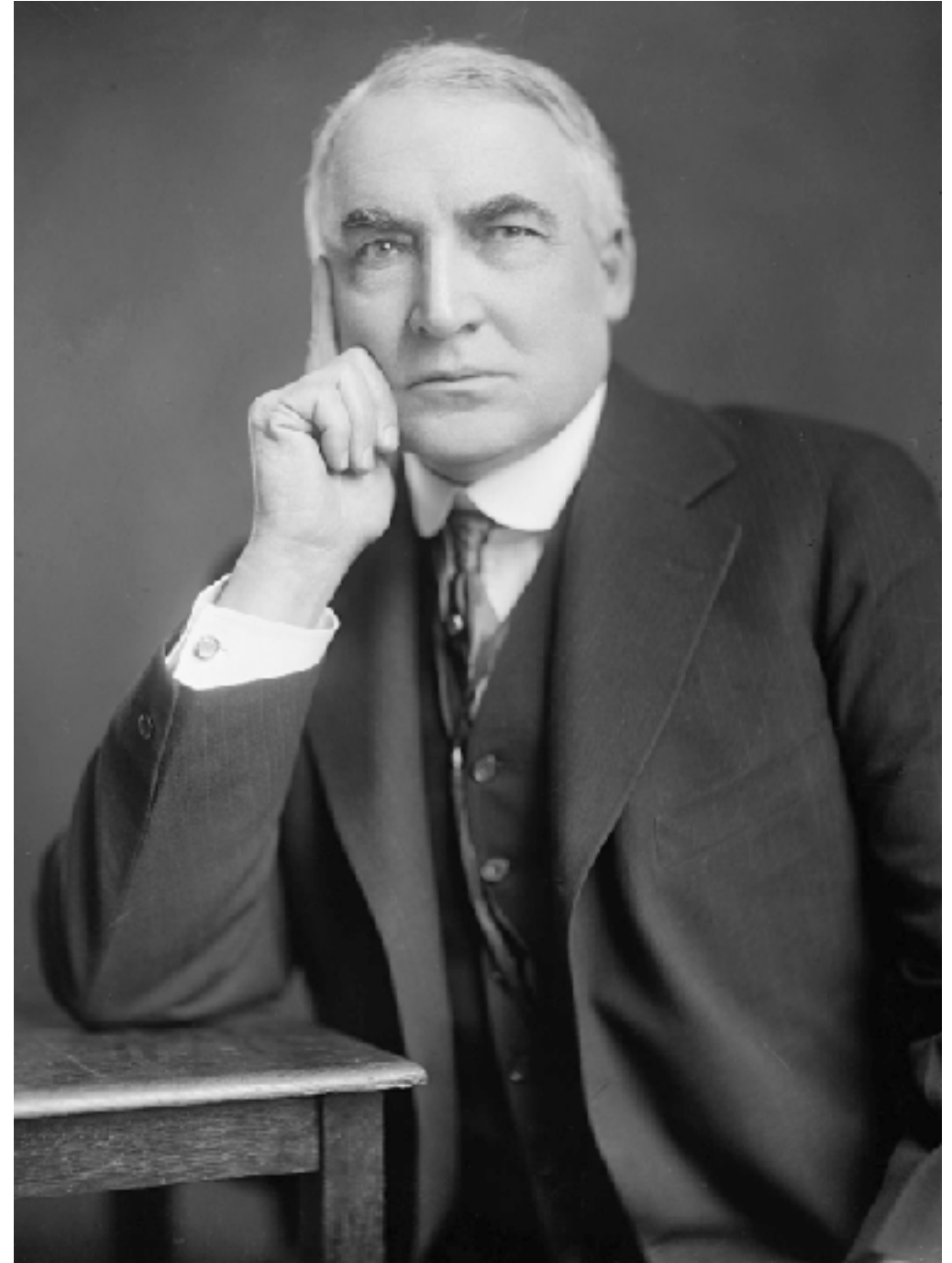
- Language is a complex social process
- Tremendous ambiguity at every level of representation
- Modeling it is **AI-complete** (requires first solving general AI)

What makes language hard?

- Speech acts (“can you pass the salt?”)
[Austin 1962, Searle 1969]
- Conversational implicature (“The opera singer was amazing; she sang all of the notes”).
[Grice 1975]
- Shared knowledge (“Warren is running for president”)



Elizabeth Warren
2020



Warren G. Harding
1920

What makes language hard?

- Speech acts (“can you pass the salt?”)
[Austin 1962, Searle 1969]
- Conversational implicature (“The opera singer was amazing; she sang all of the notes”).
[Grice 1975]
- Shared knowledge (“Warren is running for president”)
- Variation/Indexicality (“This homework is wicked hard”)
[Labov 1966, Eckert 2008]

Ambiguity

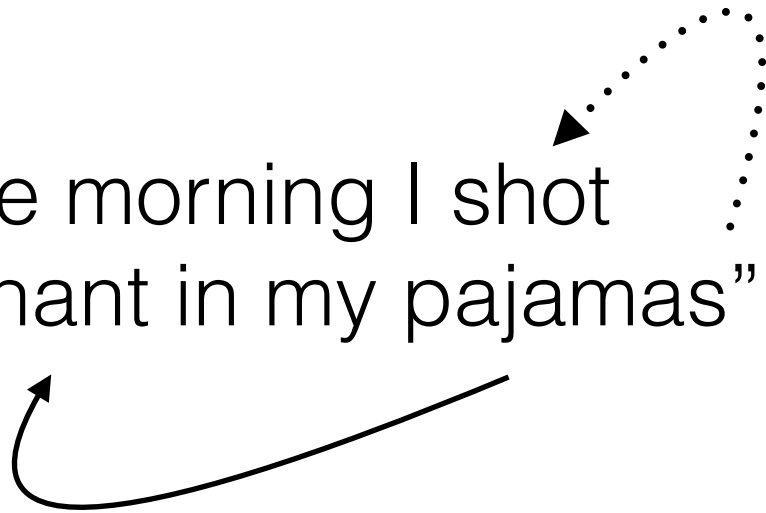
“One morning I shot
an elephant in my pajamas”



Animal Crackers

Ambiguity

“One morning I shot
an elephant in my pajamas”



Animal Crackers

Ambiguity

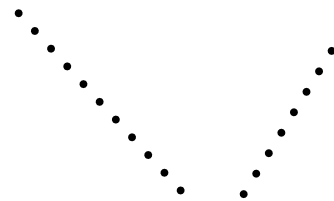


“One morning I shot
an elephant in my pajamas”



Ambiguity

verb noun



“One morning I shot
an elephant in my pajamas”



Animal Crackers

I made her duck

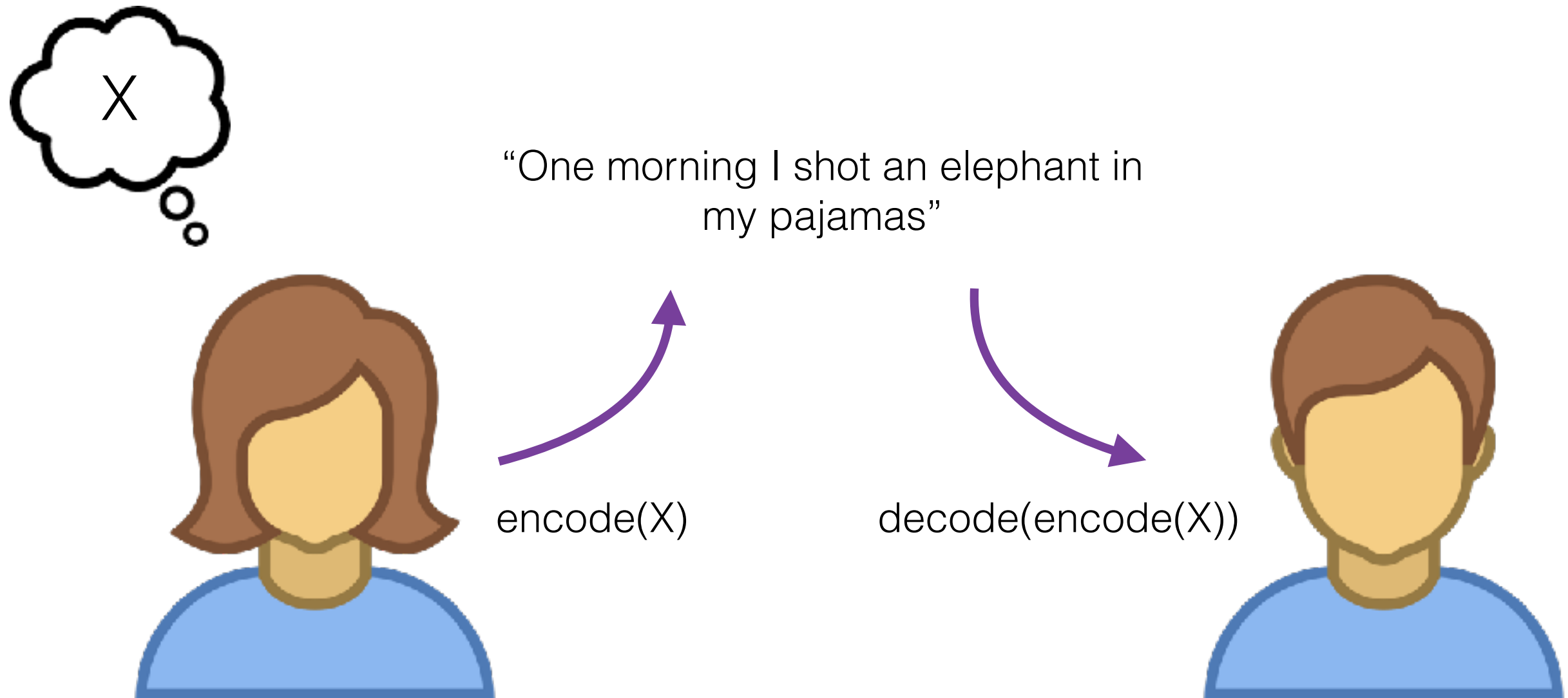
[SLP2 ch. 1]

- I cooked waterfowl for her
- I cooked waterfowl belonging to her
- I created the (plaster?) duck she owns
- I caused her to quickly lower her head or body
- ...

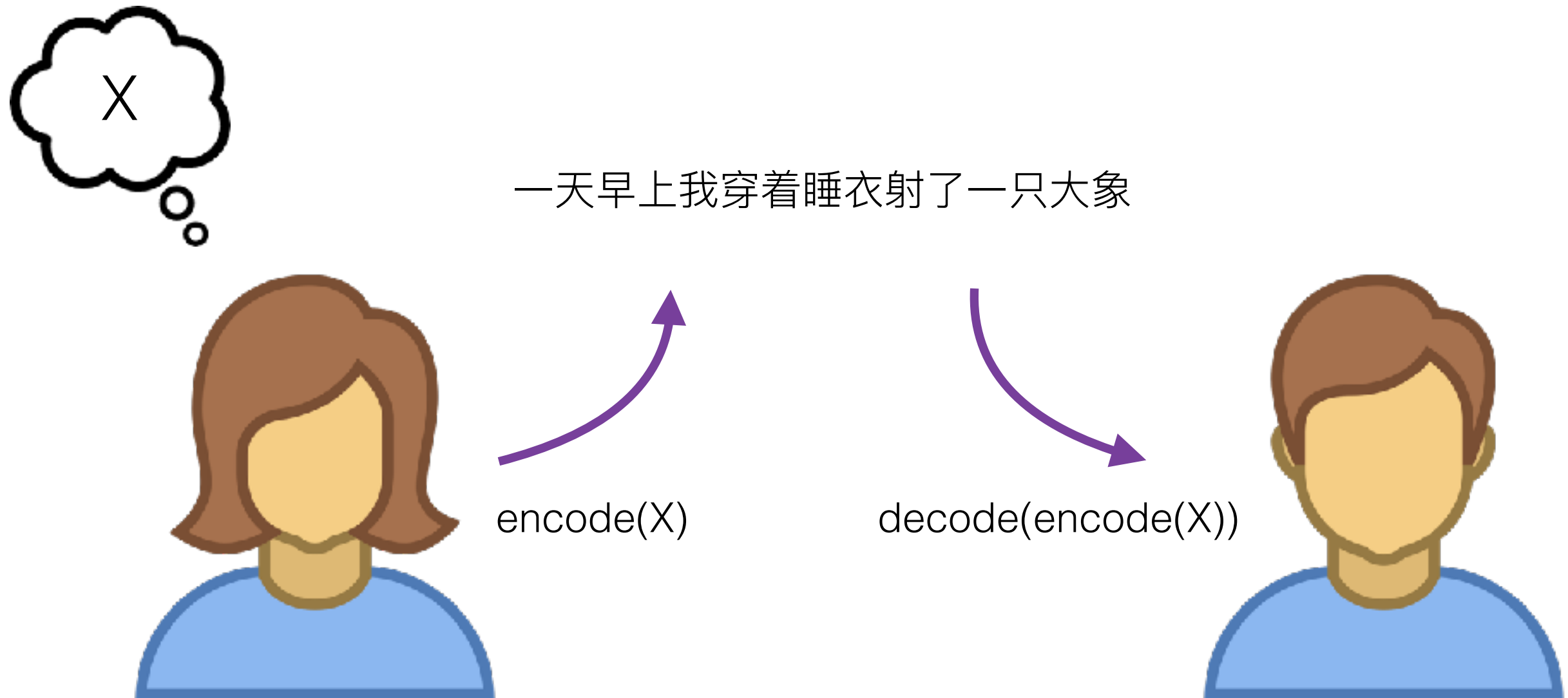
processing as representation

- NLP generally involves representing language for some end, e.g.:
 - dialogue
 - translation
 - speech recognition
 - text analysis

Information theoretic view



Information theoretic view



When I look at an article in Russian, I say: 'This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.'

Weaver 1955

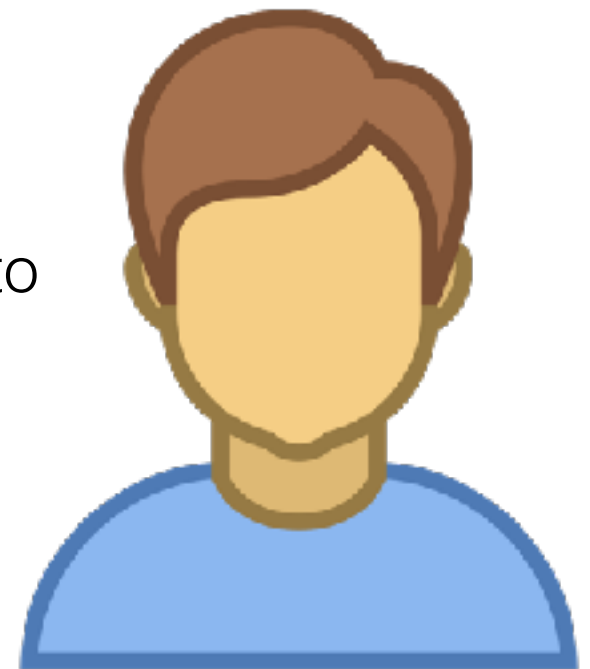
Rational speech act view



“One morning I shot an elephant in
my pajamas”



Communication involves **recursive reasoning**: how can X choose words to maximize understanding by Y?



Pragmatic view



“One morning I shot an elephant in
my pajamas”



Meaning is co-constructed by the
interlocutors and the **context** of the
utterance



Whorfian view



“One morning I shot an elephant in
my pajamas”



Weak relativism: structure of
language influences thought



Whorfian view



一天早上我穿着睡衣射了
一只大象

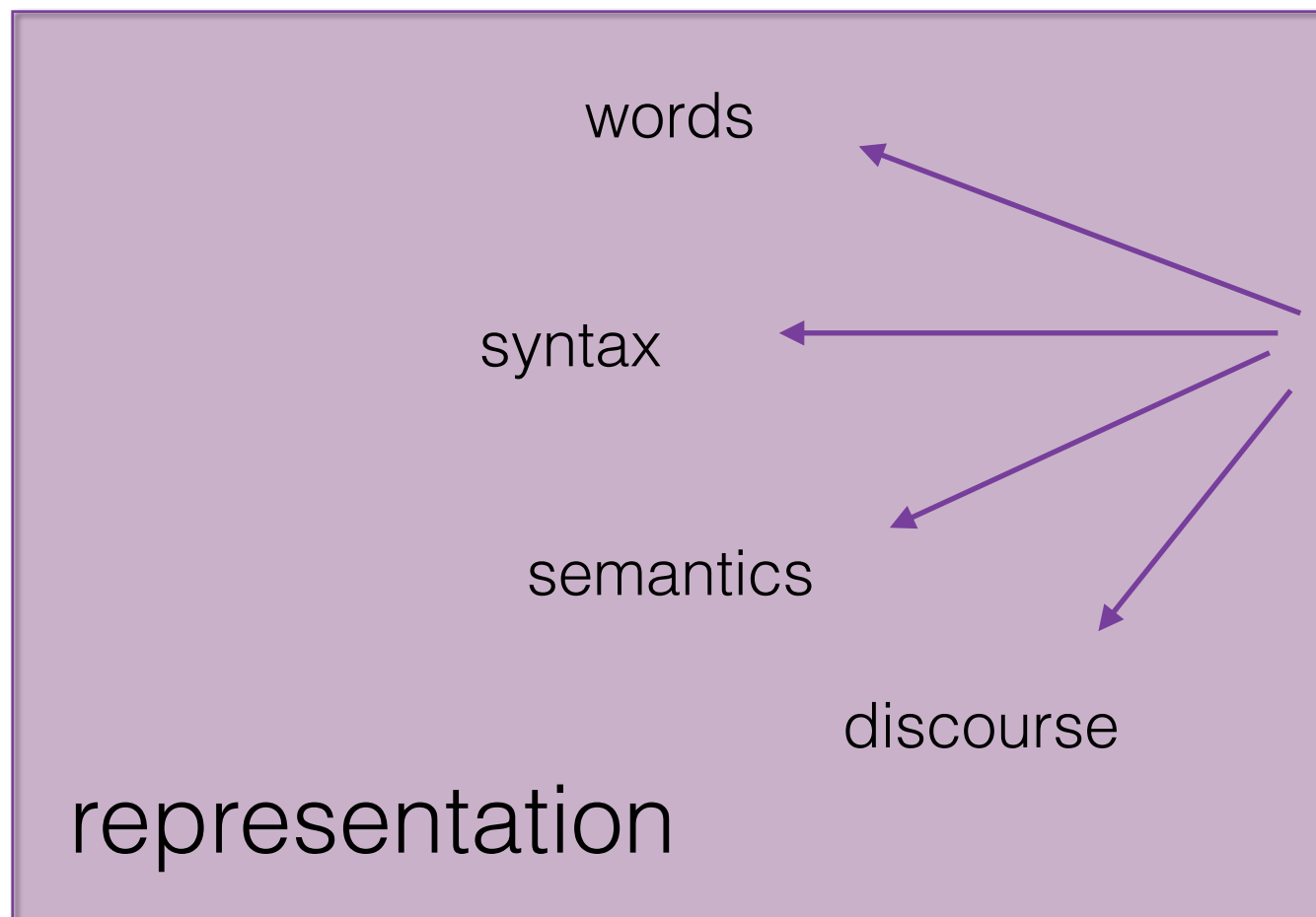


Weak relativism: structure of
language influences thought

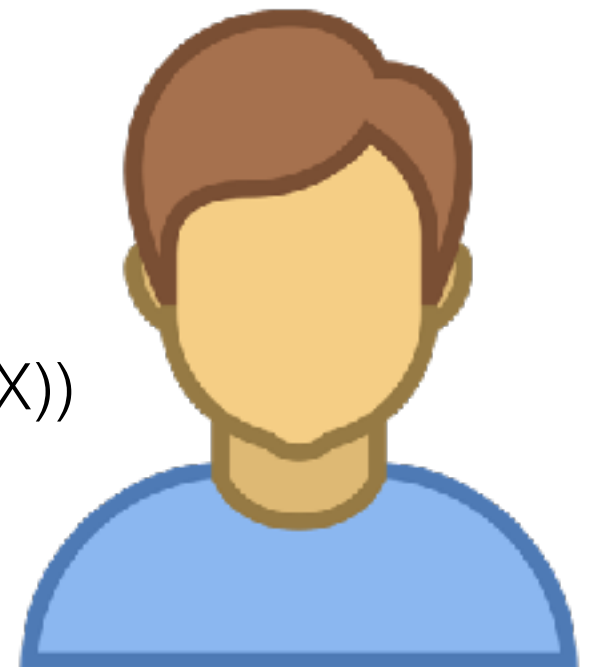


Decoding

“One morning I shot an elephant in
my pajamas”



$\text{decode}(\text{encode}(X))$



A pyramid diagram consisting of five horizontal rectangular blocks stacked vertically. Each block is a solid purple color and contains a white text label. The blocks decrease in width from bottom to top, creating a triangular shape. The labels, from bottom to top, are: 'words', 'morphology', 'syntax', 'semantics', and 'discourse'.

discourse

semantics

syntax

morphology

words

Words

- One morning I shot an elephant in my pajamas
- I didn't shoot an elephant
- *Imma* let you finish but Beyonce had one of the best videos of all time
- 一天早上我穿着睡衣射了一只大象

Parts of speech

noun

verb

noun

noun

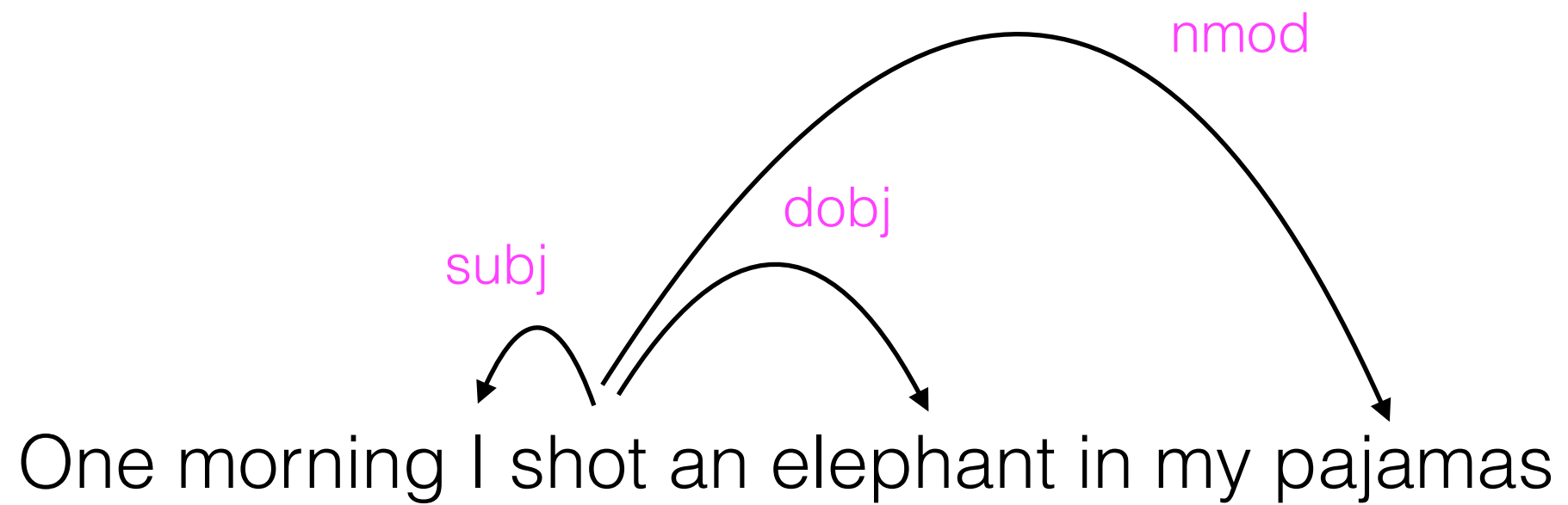
One morning I shot an elephant in my pajamas

Named entities

person

Imma let you finish but Beyonce had one of the best videos of all time

Syntax



Sentiment analysis



"Unfortunately I already had this exact picture tattooed on my chest, but **this shirt** is very useful in colder weather."

[overlook1977]

Question answering

What did Barack Obama teach?

Barack Hussein Obama II (born August 4, 1961) is an American attorney and politician who served as the 44th **President of the United States** from January 20, 2009, to January 20, 2017. A member of the **Democratic Party**, he was the first **African American** to serve as president. He was previously a **United States Senator** from **Illinois** and a member of the **Illinois State Senate**.

Obama was born in 1961 in **Honolulu, Hawaii**, two years after the territory was **admitted to the Union** as the 50th state. Raised largely in Hawaii, he also spent one year of his childhood in **Washington state** and four years in **Indonesia**. After graduating from **Columbia University** in 1983, he worked as a **community organizer** in **Chicago**. In 1988, he enrolled in **Harvard Law School**, where he was the first black president of the **Harvard Law Review**. After graduating, he became a **civil rights attorney** and a professor, teaching **constitutional law**; at the **University of Chicago Law School** from 1992 to 2004.

Barack Obama



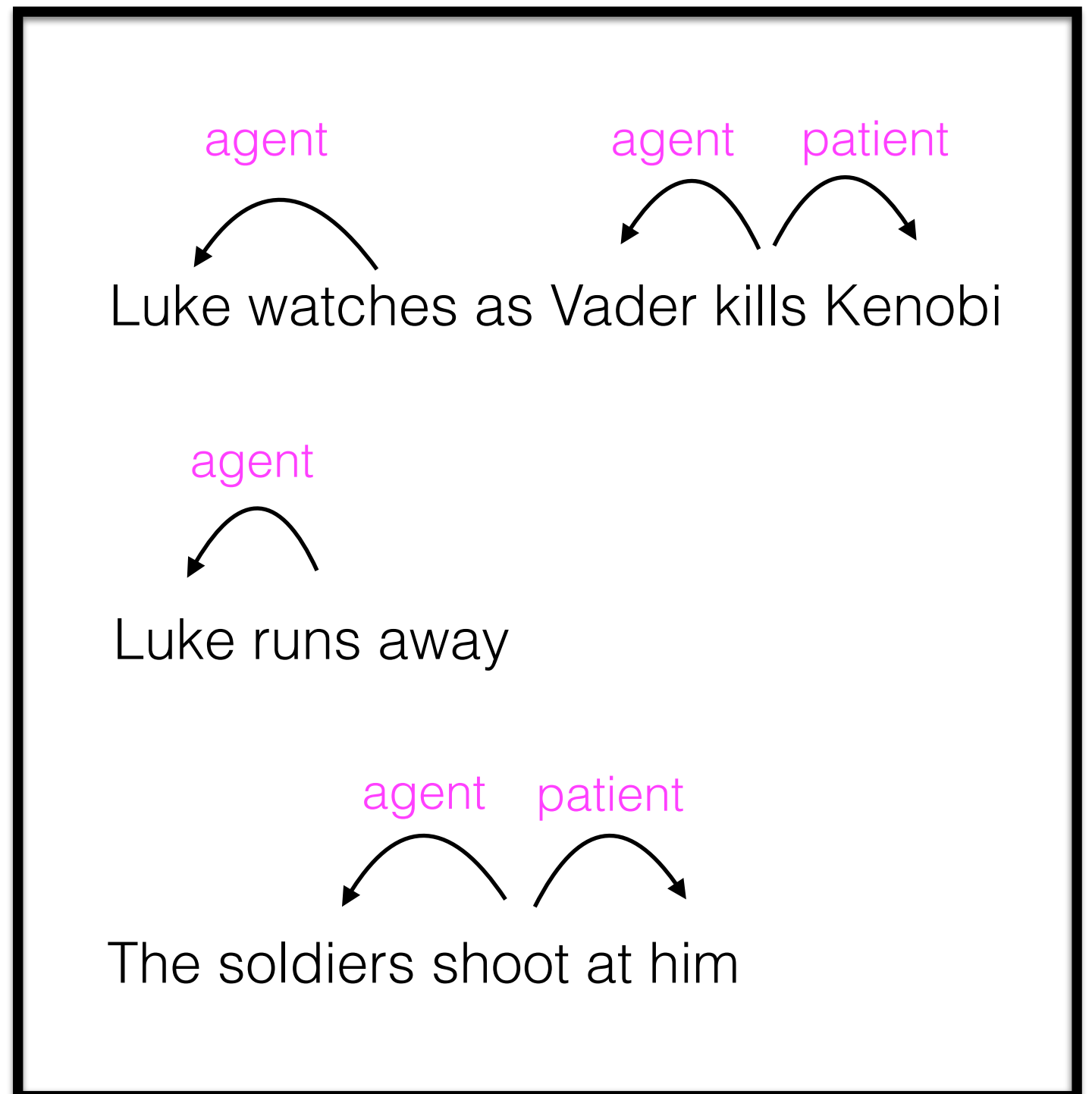
44th President of the United States

In office

Inferring Character Types

Input: text
describing plot of a
movie or book.

Structure: NER,
syntactic parsing +
coreference



NLP

- Machine translation
- Question answering
- Information extraction
- Conversational agents
- Summarization



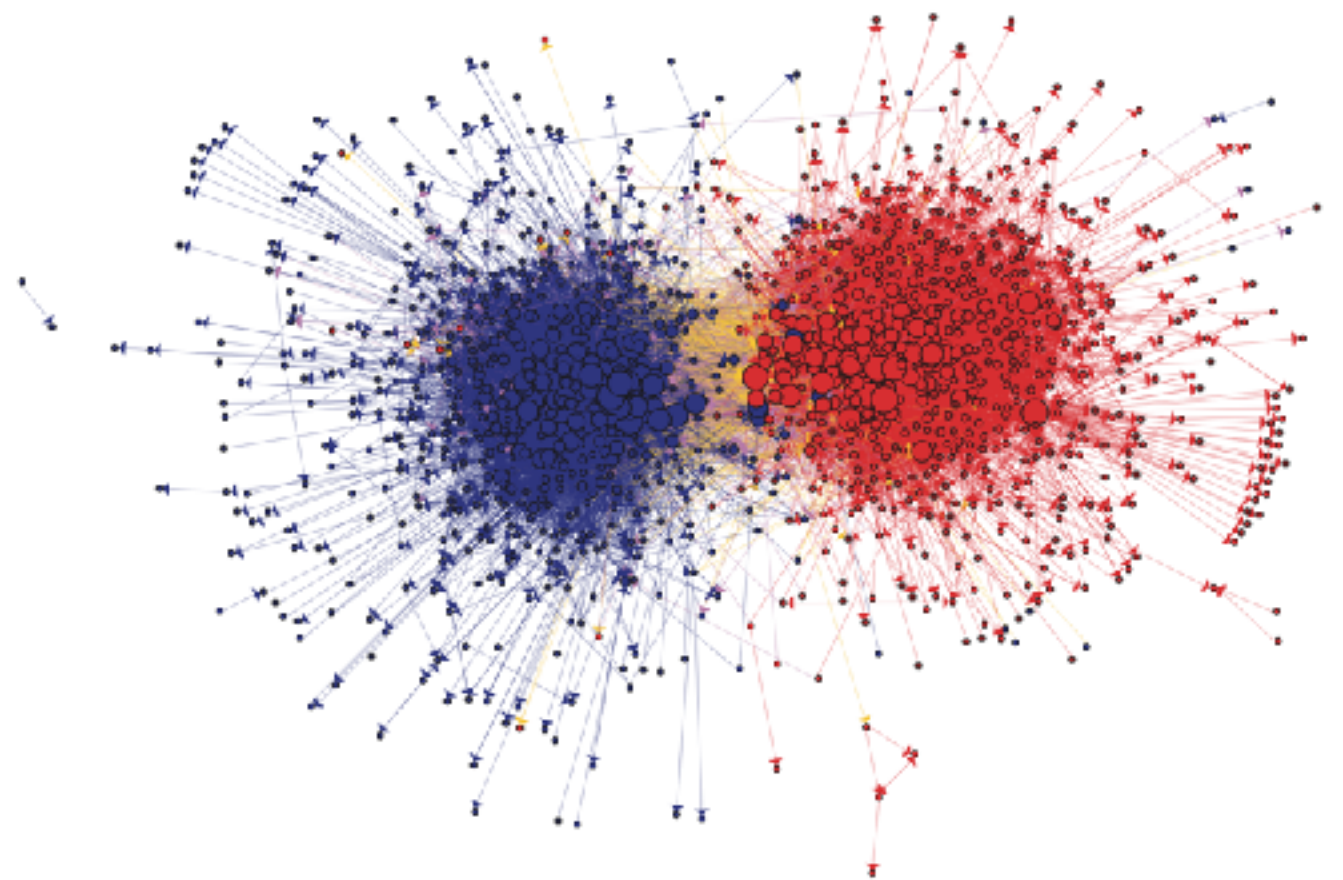
Google



NLP + X

Computational Social Science

- Inferring ideal points of politicians based on voting behavior, speeches
- Detecting the triggers of censorship in blogs/social media
- Inferring power differentials in language use



Link structure in political blogs
Adamic and Glance 2005

Computational Journalism

What do Journalists do with Documents?

Field Notes for Natural Language Processing Researchers

Jonathan Stray
Columbia Journalism School
jms2361@columbia.edu

- Robust import
- Robust analysis
- Search, not exploration
- Quantitative summaries
- Interactive methods
- Clarity and Accuracy

Computational Humanities

Ted Underwood (2016), “The Life Cycles of **Genres**,” Cultural Analytics

Ryan Heuser, Franco Moretti, Erik Steiner (2016), The **Emotions** of London

Richard Jean So and Hoyt Long (2015), “Literary Pattern Recognition”

Andrew Goldstone and Ted Underwood (2014), “The Quiet Transformations of Literary Studies,” New Literary History

Franco Moretti (2005), Graphs, Maps, Trees

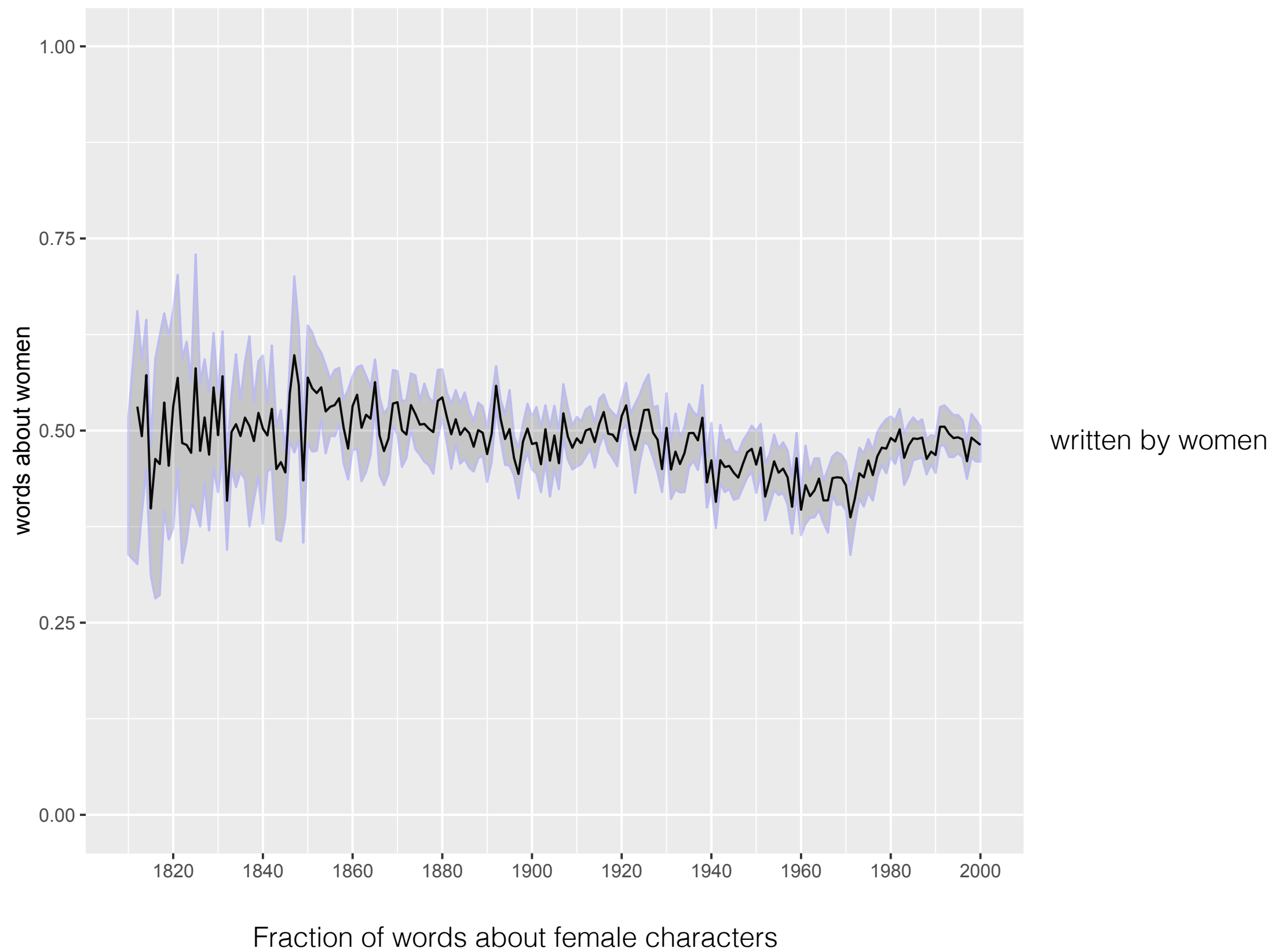
Holst Katsma (2014), **Loudness** in the Novel

So et al (2014), “**Cents** and Sensibility”

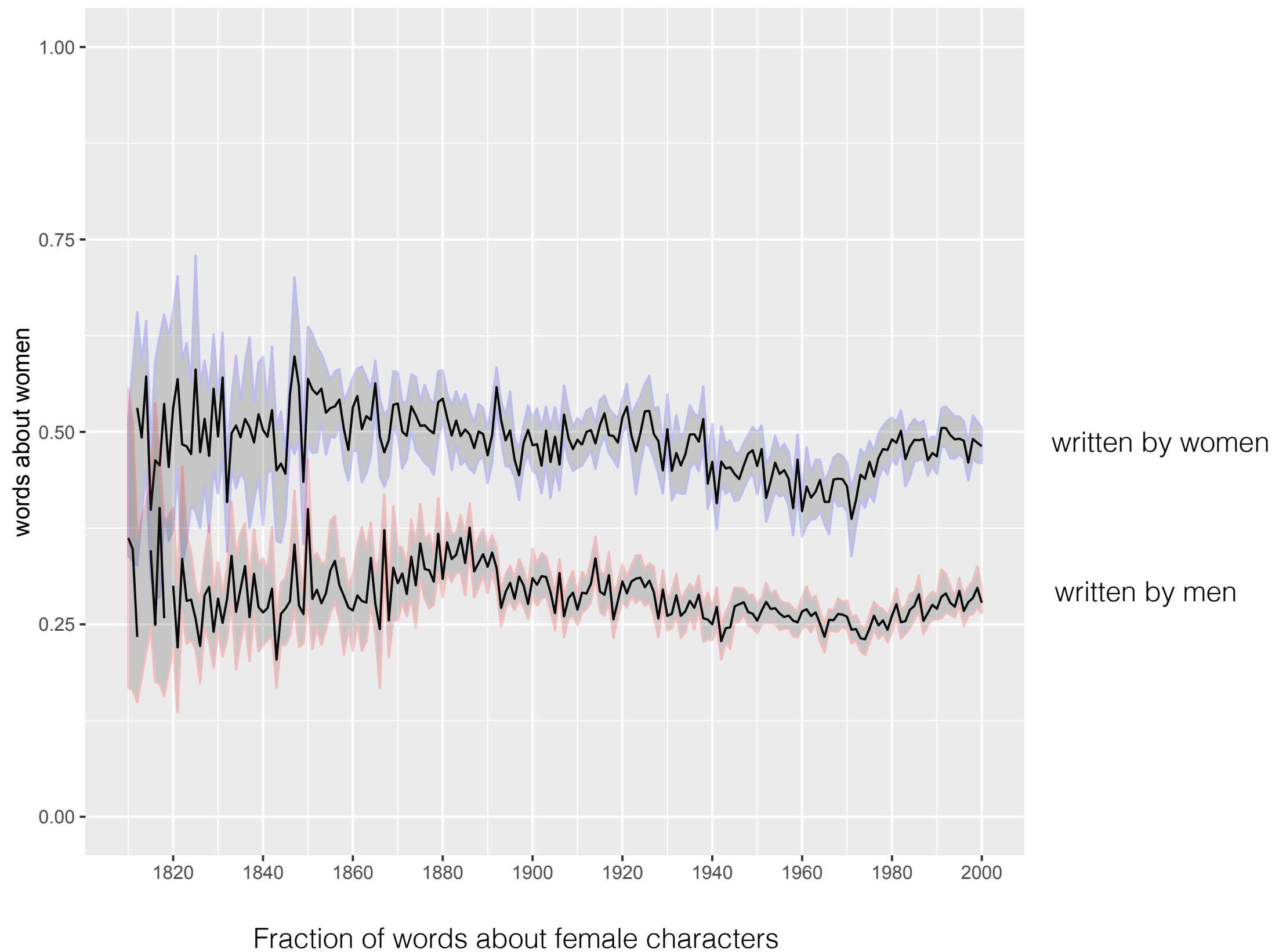
Matt Wilkens (2013), “The **Geographic** Imagination of Civil War Era American Fiction”

Jockers and Mimno (2013), “Significant **Themes** in 19th-Century Literature,”

Ted Underwood and Jordan Sellers (2012). “The Emergence of **Literary Diction**.” JDH

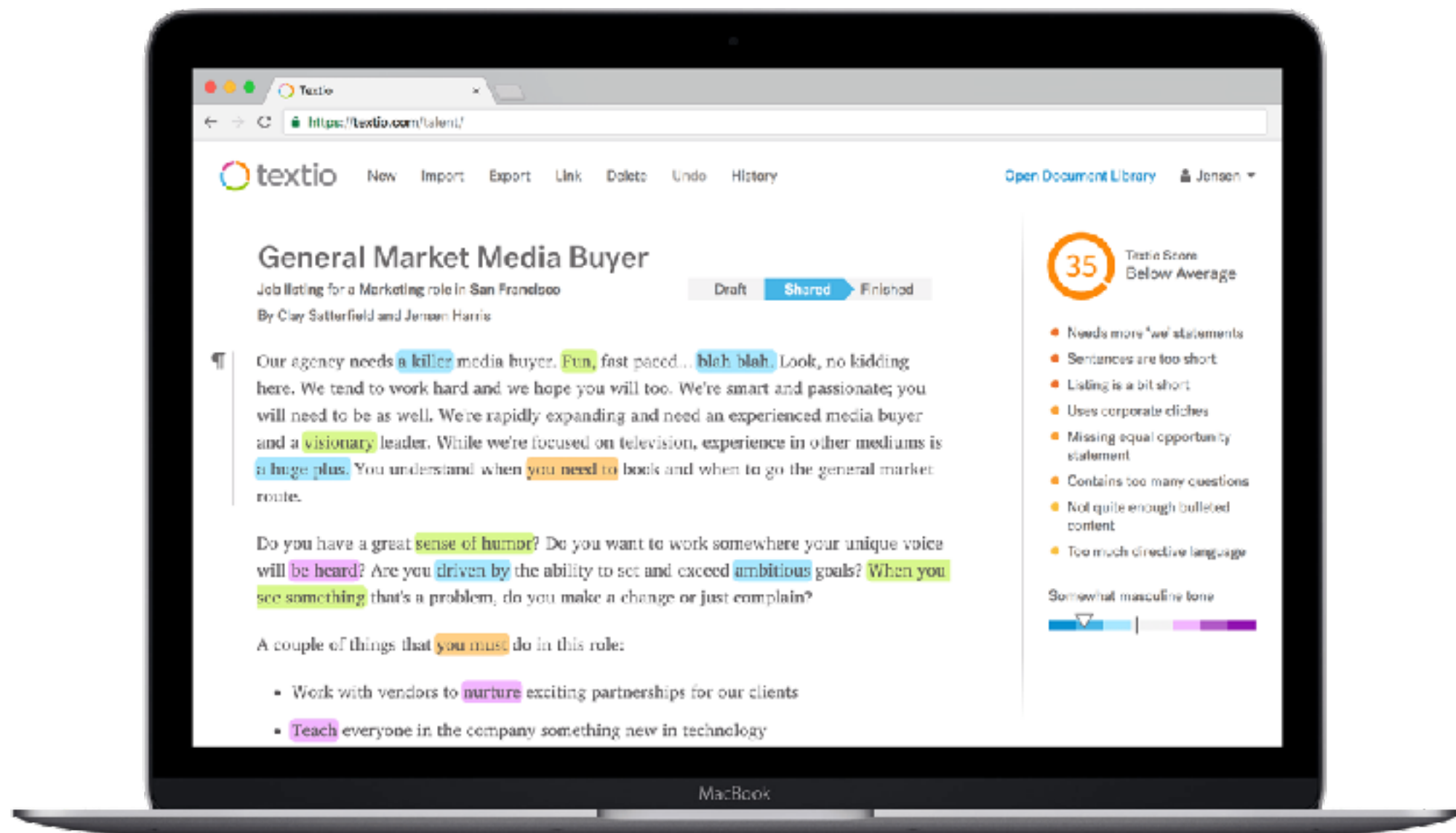


Ted Underwood, David Bamman, and Sabrina Lee (2018), "The Transformation of Gender in English-Language Fiction," Cultural Analytics



Ted Underwood, David Bamman, and Sabrina Lee (2018), "The Transformation of Gender in English-Language Fiction," Cultural Analytics

Text-driven forecasting



Methods

- Finite state automata/transducers (tokenization, morphological analysis)
- Rule-based systems

Methods

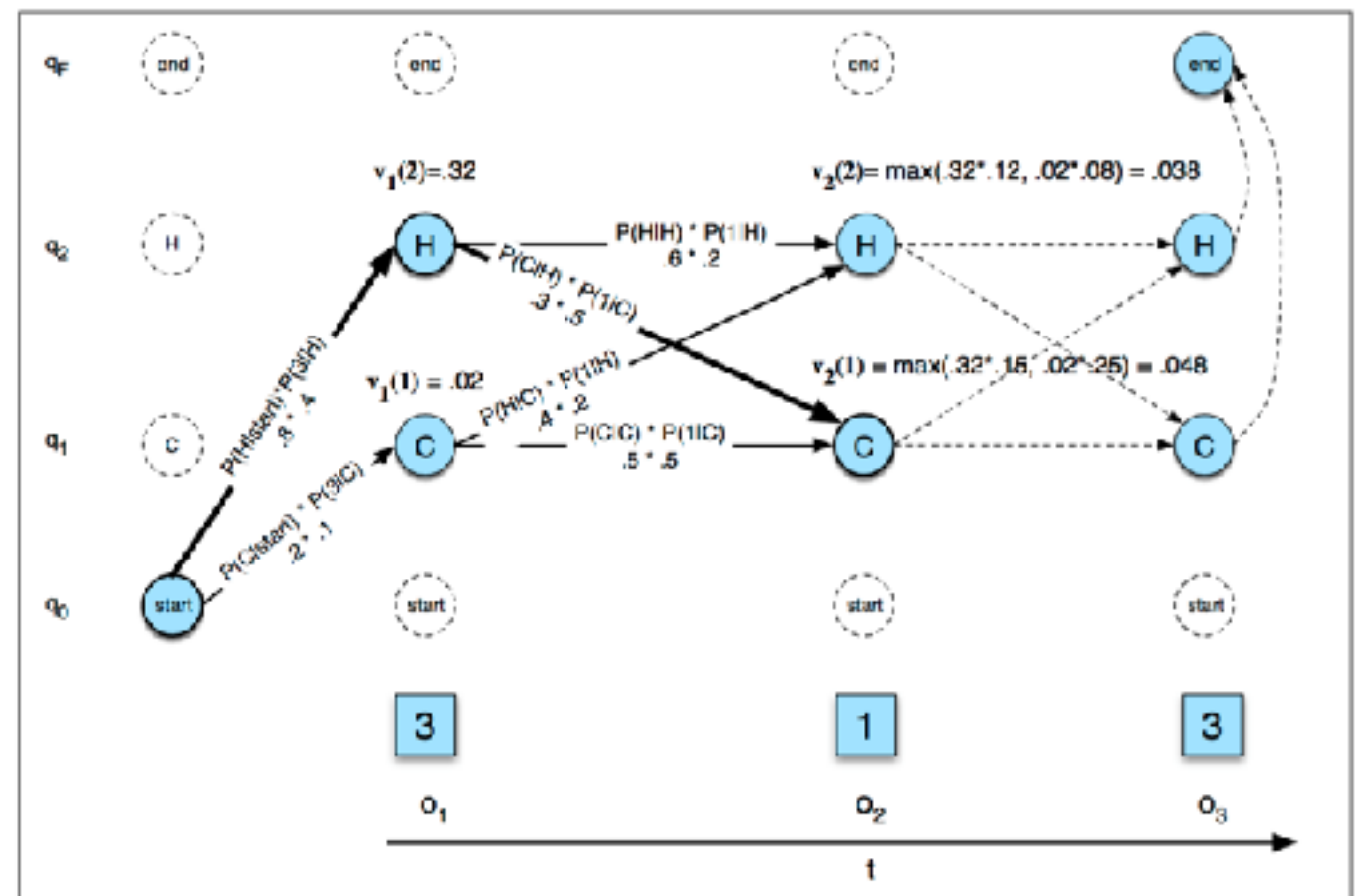
- Probabilistic models
- Naive Bayes, Logistic regression, HMM, MEMM, CRF, language models

$$P(Y = y|X = x) = \frac{P(Y = y)P(X = x|Y = y)}{\sum_y P(Y = y)P(X = x|Y = y)}$$

Methods

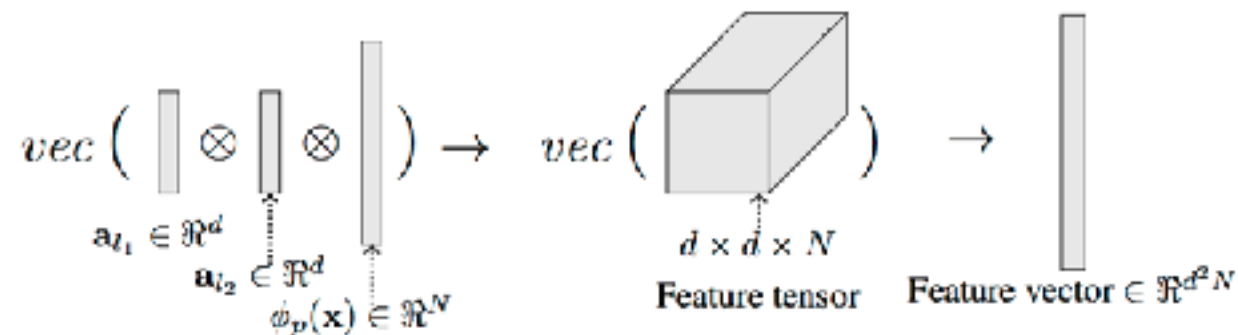
- Dynamic programming (combining solutions to subproblems)

Viterbi algorithm,
CKY



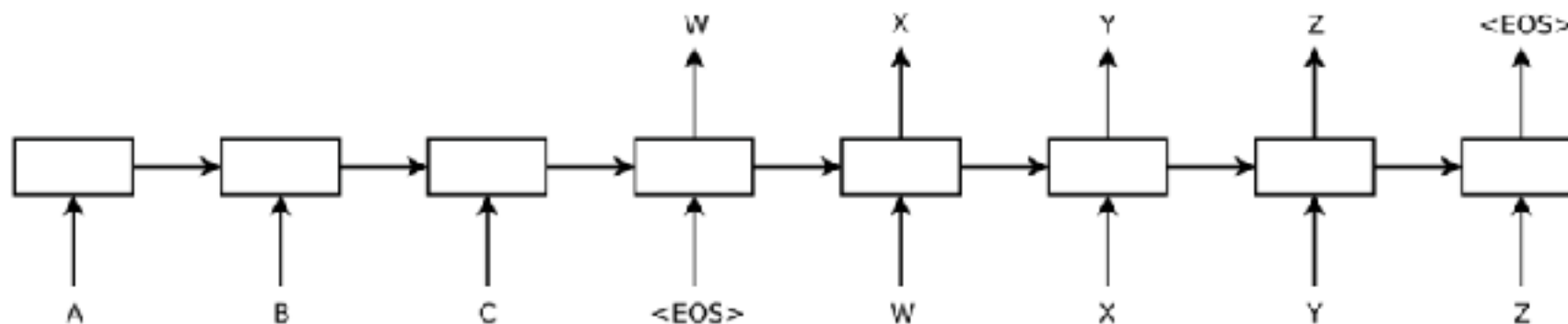
Methods

- Dense representations for features/labels (generally: inputs and outputs)



Srikumar and Manning (2014), “Learning Distributed Representations for Structured Output Prediction” (NIPS)

- Neural networks: multiple, highly parameterized layers of (usually non-linear) interactions mediating the input/output



Sutskever et al (2014), “Sequence to Sequence Learning with Neural Networks”

Methods

- Latent variable models (specifying probabilistic structure between variables and inferring likely latent values)

Nguyen et al. 2015, “Tea Party in the House: A Hierarchical Ideal Point Topic Model and Its Application to Republican Legislators in the 112th Congress”

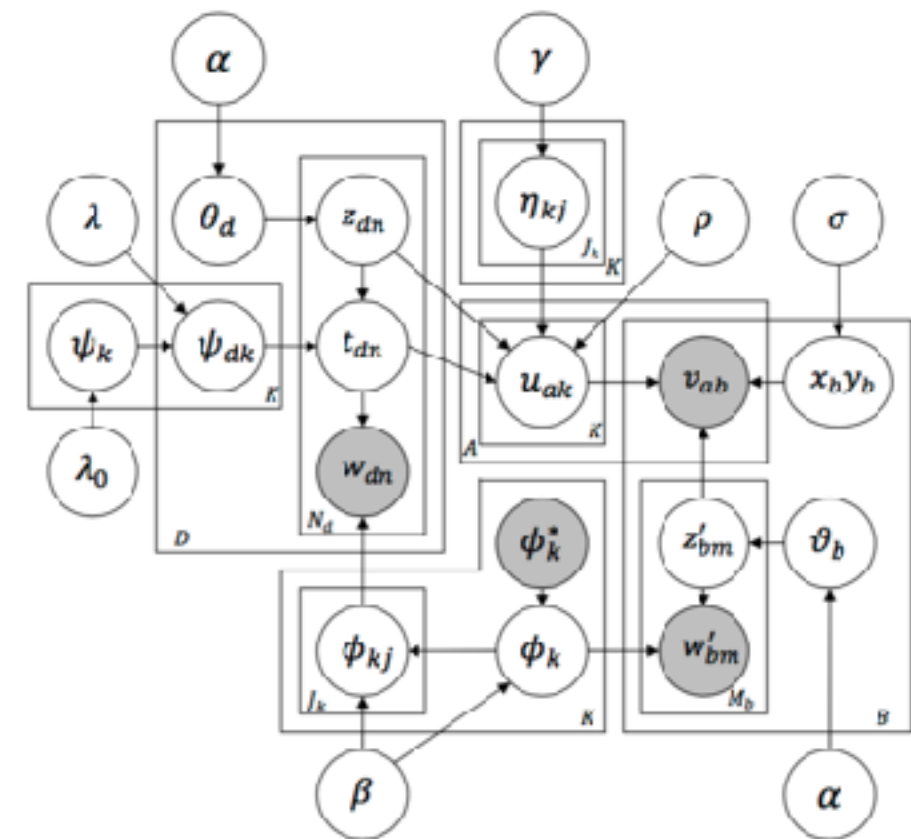


Figure 1: Plate notation diagram of HIPTM.

Info 159/259

- This is a class about **models**.
 - You'll learn and implement algorithms to solve NLP tasks efficiently and understand the fundamentals to innovate new methods.
- This is a class about the **linguistic representation** of text.
 - You'll annotate texts for a variety of representations so you'll understand the phenomena you'll be modeling

Prerequisites

- Strong programming skills
 - Translate pseudocode into code (Python)
 - Analysis of algorithms (big-O notation)
- Basic probability/statistics
- Calculus

function VITERBI(*observations* of len T , *state-graph* of len N) **returns** *best-path*

create a path probability matrix $viterbi[N+2,T]$

for each state s **from** 1 **to** N **do** ; initialization step

$viterbi[s,1] \leftarrow a_{0,s} * b_s(o_1)$

$backpointer[s,1] \leftarrow 0$

for each time step t **from** 2 **to** T **do** ; recursion step

for each state s **from** 1 **to** N **do**

$viterbi[s,t] \leftarrow \max_{s'=1}^N viterbi[s',t-1] * a_{s',s} * b_s(o_t)$

$backpointer[s,t] \leftarrow \operatorname{argmax}_{s'=1}^N viterbi[s',t-1] * a_{s',s}$

$viterbi[q_F,T] \leftarrow \max_{s=1}^N viterbi[s,T] * a_{s,q_F}$; termination step

$backpointer[q_F,T] \leftarrow \operatorname{argmax}_{s=1}^N viterbi[s,T] * a_{s,q_F}$; termination step

return the backtrace path by following backpointers to states back in
time from $backpointer[q_F,T]$

$$\frac{dx^2}{dx} = 2x$$

Grading

- Info 159:
 - 8 homeworks (50%)
 - Weekly quizzes (10%)
 - Midterm (20%)
 - Final exam (20%)

Late submissions

- All homeworks and quizzes are due on the date/time specified.
- You have 2 late days total over the semester to use when turning in homeworks/quizzes; each day extends the deadline by 24 hours.

Participation

- Participation can help boost your grade above a threshold (e.g., B+ $\rightarrow$ A-).
- Forms of participation:
 - Discussion in class
 - Answering questions on Piazza

Grading

- Info 259:
 - 8 homeworks (40%)
 - Weekly quizzes (10%)
 - Midterm (20%)
 - Project (30%)

259 Project

- Semester-long project (involving 1-3 students) involving natural language processing -- either focusing on core NLP methods or using NLP in support of an empirical research question
 - Project proposal/literature review
 - Midterm report
 - 6-page final report, workshop quality
 - Poster presentation

ACL 2019 workshops

- NLP for Building Educational Applications (BEA)
- Fact Extraction and VERification (FEVER)
- Figurative Language Processing (FLP)
- NLP for Conversational AI (NLP4ConvAI)
- Narrative Understanding, Storylines, and Events (NUSE)
- Representation Learning for NLP (RepL4NLP)
- Natural Language Processing for Social Media (SocialNLP)
- Neural Generation and Translation (WNGT)

Exams

- We'll have two exams:
 - Midterm (3/12, in-class)
 - Final (5/15, 7-10pm; INFO 159 only)
- We will **not** be offering alternative exam dates, so if you anticipate a conflict, don't take this class!

Academic integrity

- We'll follow the UC Berkeley code of conduct <http://sa.berkeley.edu/code-of-conduct>
- You may discuss homeworks at a high level with your classmates (if you do, include their names on the submission), but each homework deliverable must be completed independently -- all writing and code must be your own; and all quizzes must be completed independently.

Academic integrity

- We'll follow the UC Berkeley code of conduct
<http://sa.berkeley.edu/code-of-conduct>
- If you mention the work of others, you must be clear in citing the appropriate source:
<http://gsi.berkeley.edu/gsi-guide-contents/academic-misconduct-intro/plagiarism/>
- This holds for source code as well: if you use others' code (e.g., from StackOverflow), you must cite its source.

Lectures

- All lectures will be available via course capture on bCourses
- Attendance is not required for lectures, but **strongly recommended**.

Waitlisted

- Watch the lectures on bCourses (don't come to class!), complete assignments

Next time

- Sentiment analysis and text classification
- Read SLP3 chapter 4 (on syllabus)
- DB office hours Wednesdays 10am-noon (314 South Hall)
- TAs:
 - Jon Gillick
 - Katie Stasaski
 - Alicia Tsai
 - Tobey Yang
 - Matt Joerke
 - Changran Hu